

Voting rights exercised during Q4 of FY 2016-17 by UTI Retirement Solutions Limited on assets held by the NPS Trust

Sr. No.	Meeting Date	Company Name	Type of Meeting (AGM / EGM)	Proposal by Management or shareholder	Proposal's Description	Investee company's Management recommendations	Vote (For / Against / Abstain)	Reasons supporting the vote decisions
1	23/02/2017	Union Bank of India	EGM	1. Issue of 3,89,88,181 (Three Crore Eighty Nine Lac Eighty Eight Thousand One Hundred and Eighty One Only) equity shares of Rs.10/each (Rupees Ten only) for cash at an Issue Price of Rs.138.76 (Rupees One Hundred Thirty Eight and Seventy Six Paise Only) including premium of Rs.128.76 (Rupees One Hundred Twenty Eight and Seventy Six Paise Only) determined in accordance with Regulation 76(1) of SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009 (SEBI ICDR Regulations) and aggregating up to Rs.541 crore (Rupees Five Hundred and Forty One Crore Only) to Government of India on Preferential Basis.	As per Notice	As per notice	For	The capital infusion is necessary to shore up the bank's capital adequacy levels and fuel growth
				2. Issue of 1,29,72,038 (One Crore Twenty Nine Lac Seventy Two Thousand and Thirty Eight Only) equity shares of Rs.10/each (Rupees Ten only) for cash at an Issue Price of Rs.138.76 (Rupees One Hundred Thirty Eight and Seventy Six Paise Only) including premium of Rs.128.76 (Rupees One Hundred Twenty Eight and Seventy Six Paise Only) determined in accordance with Regulation 76(1) of SEBI ICDR Regulations and aggregating up to Rs. 180 crore (Rupees One Hundred and Eighty Crore Only) to Government of India on Preferential Basis.			For	
2	03/03/2017	Grasim Industries Limited	EGM	Increase in limit for investment in the equity share capital of the Company by Registered Foreign Portfolio Investors including Foreign Institutional Investors	As per Notice	As per notice	For	Routine. It will enable more FII's to have exposure to large conglomerate
3	NA	Gas Authority of India Ltd	POSTAL BALLOT / E-VOTING	Ordinary Resolution for issuance of bonus shares	As per Notice	As per notice	For	Bonus issue will increase the liquidity of the equity shares
4	NA	Oil India Limited	POSTAL BALLOT / E-VOTING	Issue of Bonus shares (1:3)	As per Notice	As per notice	For	Bonus issue will increase the liquidity in stock with higher floating stock
5	NA	Hindustan Petroleum Corporation Limited	POSTAL BALLOT / E-VOTING	Special Resolution under Section 42 and all other applicable provisions, if any, of the Companies Act, 2013, as well as rules prescribed thereunder, for issue of Secured/Unsecured redeemable NonConvertible Bonds / Debentures (Bonds), of such face value in both domestic as well as overseas market, in one or more series / tranches aggregating upto an amount not exceeding Rs.6000 Crore (Rupees Six Thousand Crore) within the overall borrowing limits approved by the Members, on private placement basis, during a period of one year from the date of approval by Members.	As per Notice	As per notice	For	The proceeds will be utilized for ongoing capital expenditure and other general corporate purposes. The proposed issuance is within the company's overall borrowing limit of Rs.300 bn over and above the networth of the company

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6	NA	Housing Development Finance Corporation Limited	POSTAL BALLOT / E-VOTING	1. Increase in Authorised share capital from Rs. 340 Crore to Rs. 350 Crore	As per Notice	As per notice	For	To accommodate new ESOP 2017 scheme proposed in resolution #2
				2. Approval for issuance of equity shares under Employees Stock Option Scheme 2017 to the employees and directors of Housing Development Finance Corporation Limited. For complete resolution, kindly refer the concerned Postal Ballot Notice.				For
7	NA	Apollo Tyres Limited	POSTAL BALLOT / E-VOTING	Further ISSUE OF SECURITIES THROUGH A QUALIFIED INSTITUTIONS PLACEMENT	As per Notice	As per notice	For	To support its capital expenditure plans and growth requirements. At cmp, it is 14% dilution (max Rs.15bn) on the expanded capital base
8	14/03/2017	Larsen and Toubro Limited	EGM convened by NCLT	1. Approval of Scheme of Arrangement between Larsen & Toubro Limited (Transferor Company or Applicant Company) and L&T Valves Limited (Transferee Company) and their respective Shareholders and Creditors which provides for transfer of Coimbatore Undertaking of the Applicant Company as a going Concern to the Transferee Company under Sections 230232 of the Companies Act, 2013	As per Notice	As per notice	For	As a part of the overall strategy to shed non core assets, L&T is streamlining the asset ownership across businesses
9	NA	Bharti Airtel Limited	POSTAL BALLOT / E-VOTING	1. Issue of unsecured / secured redeemable Non-Convertible Debentures / Bonds by way of Private Placement	As per Notice	As per notice	For	The proposed NCD issuance is within the overall borrowing limits of the company
				2. Transfer of the Company's investment in its wholly-owned subsidiary, Bharti Airtel International (Mauritius) Limited ("BAIM"), Mauritius to Network i2i Limited, Mauritius, another wholly-owned subsidiary.				The current scheme will consolidate the international operations of the company under one entity and simplify the holding structure. It will not have any impact on the consolidated financials. The consideration of USD 250mn (Rs.17bn apprx), even it is debt funded, it unlikely to materially impact the company's consolidated performance