

Redressal of Complaints received against Mutual Funds (MFs) during 2011-2012												
Name of Mutual Fund : UTI Mutual Fund												
Total Number of Folios : 10112030												
Complaint Code	Type of Complaint#	(a) No. of complaints pending at the beginning of the year	Action on (a) and (b)									
			(b) No. of Complaints received during the year	Resolved				Non Actionable *	Pending			
				Within 30 days	30-60 days	60-180 days	Beyond 180 days		0-3 months	3-6 months	6-9 months	9-12 months
I A	Non receipt of Dividend on Units	0	848	842	6	0	0	0	0	0	0	0
I B	Interest on delayed payment of Dividend	0	156	156	0	0	0	0	0	0	0	0
I C	Non receipt of Redemption Proceeds	0	1187	1176	10	1	0	0	0	0	0	0
I D	Interest on delayed payment of Redemption	0	117	116	1	0	0	0	0	0	0	0
II A	Non receipt of Statement of Account/Unit Certificate	0	295	290	5	0	0	0	0	0	0	0
II B	Discrepancy in Statement of Account	0	87	84	3	0	0	0	0	0	0	0
II C	Non receipt of Annual Report/Abridged Summary	0	2	2	0	0	0	0	0	0	0	0
III A	Wrong switch between Schemes	0	17	17	0	0	0	0	0	0	0	0
III B	Unauthorized switch between Schemes	0	13	13	0	0	0	0	0	0	0	0
III C	Deviation from Scheme attributes	0	10	10	0	0	0	0	0	0	0	0
III D	Wrong or excess charges/load	0	7	7	0	0	0	0	0	0	0	0
III E	Non updation of changes viz. address, PAN, bank details, nomination, etc	0	52	52	0	0	0	0	0	0	0	0
IV	Others	0	3593	3546	47	0	0	0	0	0	0	0
<b>Total</b>		<b>0</b>	<b>6384</b>	<b>6311</b>	<b>72</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

# - including against its authorized persons / distributors / employees etc.

\*Non actionable - means the complaint that are incomplete / outside the scope of the Mutual Fund.