

Redressal of Complaints received during April 2013 to March 2014
Name of the Mutual Fund : UTI Mutual Fund
Total Number of Folios : 9557700 (as on 31/03/2014)

Complaint code	Type of complaint#	(a) No. of complaints pending at the beginning of the year	Action on (a) and (b)									
			(b) No of complaints received during the year	Resolved				Non Action able*	Pending			
				Within 30 days	30-60 days	60-180 days	Beyond 180 days		0-3 months	3-6 months	6-9 months	9-12 months
I A	Non receipt of Dividend on Units	0	209	209	0	0	0	0	0	0	0	0
I B	Interest on delayed payment of Dividend	0	6	6	0	0	0	0	0	0	0	0
I C	Non receipt of Redemption Proceeds	0	280	279	1	0	0	0	0	0	0	0
I D	Interest on delayed payment of Redemption	0	11	11	0	0	0	0	0	0	0	0
II A	Non receipt of Statement of Account/Unit Certificate	0	221	221	0	0	0	0	0	0	0	0
II B	Discrepancy in Statement of Account	0	45	45	0	0	0	0	0	0	0	0
II C	Data Corrections in Investor Details	0	2618	2617	1	0	0	0	0	0	0	0
II D	Non receipt of Annual Report/Abridged Summary	0	2	2	0	0	0	0	0	0	0	0
III A	Wrong switch between Schemes	0	11	11	0	0	0	0	0	0	0	0
III B	Unauthorized switch between Schemes	0	11	11	0	0	0	0	0	0	0	0
III C	Deviation from Scheme attributes	0	0	0	0	0	0	0	0	0	0	0
III D	Wrong or excess charges/load	0	12	12	0	0	0	0	0	0	0	0
III E	Non updation of changes viz. address, PAN, bank details, nomination, etc	0	348	348	0	0	0	0	0	0	0	0
IV	Others	0	571	571	0	0	0	0	0	0	0	0
Total		0	4345	4343	2	0	0	0	0	0	0	0

including against its authorized persons/ distributors/ employees. etc.
***Non actionable means the complaint that are incomplete / outside the scope of the mutual fund**