

Ref: UTI/AMC/CS/SE/2020-21/051

Date: 15th March, 2021

National Stock Exchange of India Limited

Exchange Plaza Plot No. C/1
G Block Bandra-Kurla Complex
Bandra (East) Mumbai – 400051
Scrip Symbol: UTIAMC

BSE Limited

Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai 400 001
Scrip Code/Symbol: 543238/UTIAMC

Sub: Reporting under SEBI (Prohibition of Insider Trading) Regulations, 2015

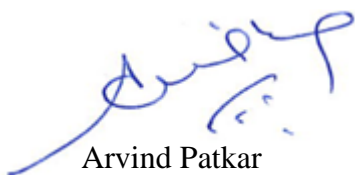
Dear Sir/ Madam,

We are enclosing herewith disclosure under SEBI (Prohibition of Insider Trading) Regulations, 2015 relating to the Company's Code of Conduct for regulating, monitoring and reporting of trading by designated persons and their immediate relatives, in the format prescribed by SEBI *vide* Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated 23rd July, 2020.

We request you to disseminate the above information on your respective websites.

Thanking you,

For UTI Asset Management Company Limited



Arvind Patkar

Company Secretary and Compliance Officer



Encl.: As above

Form E

**SEBI (Prohibition of Insider Trading) Regulations, 2015 read with
SEBI Circular dated 23rd July, 2020**

**Report for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading)
Regulations, 2015.**

Sr. No.	Particulars	Details												
1	Name of the listed company / Intermediary/Fiduciary	UTI Asset Management Company Limited												
2	Please tick appropriate checkbox Reporting in capacity of: <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company												
A. Details of Designated Person (DP)														
i.	Name of the DP	Mr. Narasimhan Seshadri												
ii.	PAN of the DP	AAPPS0768Q												
iii.	Designation of DP	Independent Director												
iv.	Functional Role of DP	Independent Director												
v.	Whether DP is Promoter or belongs to Promoter Group	No												
B. If Reporting is for immediate relative of DP														
i.	Name of the immediate relative of DP	NA												
ii.	PAN of the immediate relative of DP	NA												
C. Details of transaction(s)														
i.	Name of the scrip	Equity shares of UTI Asset Management Company Limited												
ii.	No of shares traded and value (Rs.) (Date-wise)	<table border="1"> <thead> <tr> <th>Date</th><th>Trans action</th><th>No. of shares</th><th>Value</th></tr> </thead> <tbody> <tr> <td>10/11/2020</td><td>Buy</td><td>500</td><td>2,50,600</td></tr> <tr> <td>23/12/2020</td><td>Sell</td><td>500</td><td>2,73,300</td></tr> </tbody> </table>	Date	Trans action	No. of shares	Value	10/11/2020	Buy	500	2,50,600	23/12/2020	Sell	500	2,73,300
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10/11/2020	Buy	500	2,50,600											
23/12/2020	Sell	500	2,73,300											
D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter														

	i. Date of intimation of trades (s) by concerned DP /promoter /promoter group of Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA														
	ii. Date of intimation of trades(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA														
4	Details of violations observed under Code of Conduct	Execution of contra trade within 6 months														
5	Action taken by Listed Company/ Intermediary/ Fiduciary	Disgorgement of net profit (adjusted for transaction charges)														
6	Reasons recorded in writing for taking action stated above	As informed, the above transaction was done inadvertently.														
7	Details of the previous instances of violations, if any, since last financial year	NIL														
8	If amount collected for Code of Conduct violation(s)															
	i. Mode of transfer to SEBI – IPEF (Online/Demand Draft)	Online														
	ii. Details of transfer/payment	<table><tr><th>Particulars</th><th>Details</th></tr><tr><td>Name of the transferor</td><td>Mr. Narasimhan Seshadri</td></tr><tr><td>Bank Name, Branch and Account number</td><td>Canara Bank, Bangalore Overseas Branch and 1833101000566</td></tr><tr><td>UTR /Transaction reference Number</td><td>P070210097015713</td></tr><tr><td>Transaction date</td><td>11th March, 2021</td></tr><tr><td>Transaction Amount (in Rs.)</td><td>Rs. 22,500/-</td></tr></table>	Particulars	Details	Name of the transferor	Mr. Narasimhan Seshadri	Bank Name, Branch and Account number	Canara Bank, Bangalore Overseas Branch and 1833101000566	UTR /Transaction reference Number	P070210097015713	Transaction date	11 th March, 2021	Transaction Amount (in Rs.)	Rs. 22,500/-		
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Bank Name, Branch and Account number	Canara Bank, Bangalore Overseas Branch and 1833101000566															
UTR /Transaction reference Number	P070210097015713															
Transaction date	11 th March, 2021															
Transaction Amount (in Rs.)	Rs. 22,500/-															
9	Any other relevant information	Nil														

Yours faithfully,

Mumbai
15/03/2021

Name and Signature of Compliance Officer
Mr Vivek Maheshwari
PAN: AAFPM0993D
Email ID: Vivek.maheshwari@uti.co.in