

UTI SMALL CAP FUND

An open ended equity scheme predominantly investing in Small cap stocks.

Category
Small Cap Fund

Investment Objective

The objective of the scheme is to generate long term capital appreciation by investing predominantly in equity and equity related securities of small cap companies.

However, there can be no assurance or guarantee that the investment objective of the scheme would be achieved.

Date of inception/allotment

22nd December, 2020

Fund Manager

Mr. Ankit Agarwal B.Tech, PGDM (General Management) managing the scheme since Dec 2020

Fund AUM

Fund Size Monthly Average : ₹ 2563.92 Crore
Closing AUM : ₹ 2665.78 Crore

High/Low NAV in the month

High Growth Option : ₹ 16.5489
Low Growth Option : ₹ 15.3878

Total Expense Ratio

Regular : 1.94
Direct : 0.61

Minimum Investment Amount

₹ 5000/- subsequent minimum investment under a folio is ₹ 1000/- and in multiples of ₹ 1/- thereafter with no upper limit

Load Structure

Entry Load: Nil
Exit Load : Less than one year 1%. Greater than or equal to one year Nil

NAV per unit as on 31st May, 2023

Regular Growth Option ₹ 16.5489
Regular IDCW Option ₹ 16.5488
Direct Growth Option ₹ 17.3117
Direct IDCW Option ₹ 17.3117

Portfolio Details

% of Top 10 Stocks	20.83
Median Market Cap (₹ Cr)	9,817
Weighted Average Market Cap	13,411
Number of Securities	78

All figures given are provisional and unaudited.

Market Capitalisation (%)

	Large	Mid	Small
Fund	-	21	79
Benchmark	-	3	97

Portfolio as on 31st May, 2023

Equity	% to NAV	Equity	% to NAV
Carborandum Universal Ltd.	2.56	Mahindra CIE Automotive Ltd	1.55
Karur Vysya Bank Ltd.	2.37	Praj Industries Ltd.	1.52
Brigade Enterprises Ltd.	2.31	Metro Brands Ltd	1.52
Timken India Ltd.	2.19	Equitas Small Finance Bank Ltd	1.52
Creditaccess Grameen Ltd	1.98	Suven Pharmaceuticals Ltd	1.49
Chalet Hotels Ltd	1.94	APL Apollo Tubes Ltd	1.48
Canfin Homes Ltd.	1.93	Coforge Ltd	1.46
Cholamandalam Financial Holdings Ltd	1.92	Prince Pipes And Fittings Ltd	1.46
Cera Sanitaryware Ltd.	1.86	Persistent Systems Ltd.	1.45
Krishna Institute Of Medical Science Ltd	1.77	Greenpanel Industries Ltd	1.44
V.I.P. Industries Ltd.	1.76	Narayana Hrudayalaya Ltd	1.40
KPIT Technologies Ltd	1.73	Computer Age Management Services Ltd	1.40
eClerx Services Ltd.	1.69	Indiamart InterMesh Ltd	1.39
360 One Wam Ltd.	1.68	Others	44.51
City Union Bank Ltd	1.66	Net Current Assets	3.86
MTAR Technologies Ltd	1.63	TOTAL	100.00
Firstsource Solutions Ltd.	1.56		

Active Stock positions

Overweight (Top 5)	%	Underweight (Top 5)	%
Timken India Ltd	2.2	IDFC Ltd	-1.4
Chalet Hotels Ltd	1.7	Indian Energy	
Brigade Enterprises Ltd	1.6	Exchange Ltd	-1.2
Metro Brands Ltd	1.5	Elgi Equipments Ltd	-1.2
Karur Vysya Bank Ltd	1.5	KEI Industries Ltd	-1.2
		Cyient Ltd	-1.1

Fund Performance Vs Benchmark (CAGR) as on 31st May, 2023 Growth of ₹ 10000

Period	NAV Growth (%)	Nifty Small Cap 250 TRI (%)	Nifty 50 TRI (%)	NAV Growth (₹)	Nifty Small Cap 250 TRI (₹)	Nifty 50 TRI (₹)
1 Y	16.38	15.39	12.94	11,638	11,539	11,294
SI*	22.95	26.39	15.35	16,550	17,702	14,165

B - Benchmark, AB - Additional Benchmark, TRI - Total Return Index

Different plans shall have a different expense structure. The performance details provided herein are of existing plan and growth option. CAGR - Compounded Annualized Growth Rate. The Scheme is currently managed by Mr. Ankit Agarwal since inception. **Past performance may or may not be sustained in future.** Inception of UTI Small Cap Fund : December 22, 2020. Period for which scheme's performance has been provided is computed basis last day of the month-end preceding the date of advertisement. In case, the start/end date of the concerned period is a non-business day, the NAV of the previous date is considered for computation of returns.

SIP Returns as on 31st May, 2023

Period	Investment Amount (₹)	Fund Value (₹)	Nifty Small Cap 250 TRI (₹)	Nifty 50 TRI (₹)	Yield (%) Fund	Yield (%) Nifty Small Cap 250 TRI	Yield (%) Nifty 50 TRI
1 Y	1,20,000	1,30,490	1,31,243	1,28,149	16.68	17.91	12.89
SI	2,90,000	3,44,962	3,37,645	3,27,749	14.54	12.68	10.13

Note: Systematic Investment Plan (SIP) returns are worked out assuming investment of ₹ 10,000/- every month at NAV per unit of the scheme as on the first working day for the respective time periods. The loads have not been taken into account. **Past performance may or may not be sustained in future.**

Top 5 Sectors

Capital Goods	17%
Financial Services	17%
Consumer Durables	13%
Healthcare	10%
Services	7%
Others	36%

For performance details of other Schemes managed by the Fund Manager Summary

Sr. No	Name of the Fund Manager	Funds Managed	Performance data refer page no.
1	Mr. Ajay Tyagi	UTI Flexi Cap Fund (Earlier Known as UTI EQUITY FUND)	27
		UTI Unit Linked Insurance Plan (Equity Portion)	56
2	Mr. Amit Premchandani	UTI Dividend Yield Fund	35
		UTI Regular Savings Fund (Equity Portion)	54
		UTI Value Opportunities Fund	28
3	Mr. Amit Sharma	UTI Arbitrage Fund (along with Mr Sharwan Kumar Goyal)	53
		UTI Liquid Cash Plan	68
		UTI Money Market Fund (along with Mr. Anurag Mittal)	69
		UTI Overnight Fund	67
4	Mr Ankit Agarwal	UTI Mid Cap Fund	29
		UTI Small Cap Fund	30
5	Mr. Anurag Mittal	UTI Banking & PSU Debt Fund	74
		UTI Corporate Bond Fund	75
		UTI Gilt Fund with 10 year Constant Duration (along with Mr Jaydeep Bhowal)	83
		UTI Money Market Fund (Along with Mr. Amit Sharma)	69
		UTI Treasury Advantage Fund	71
6	Mr. Kamal Gada	UTI Healthcare Fund	40
7	Mr. Karthikraj Lakshmanan	UTI MNC Fund	36
		UTI Mastershare Unit Scheme	25
		UTI Master Equity Plan Unit Scheme (UTI MEPUS)	-
8	Mr. Ritesh Nambiar	UTI Credit Risk Fund	76
		UTI Medium Term Fund	78
		UTI Ultra Short Term Fund	70
9	Mr Sachin Trivedi	UTI Infrastructure Fund	38
		UTI Transportation And Logistics Fund	41
10	Mr Sharwan Kumar Goyal	UTI Arbitrage Fund (along with Mr. Amit Sharma)	53
		UTI Multi Asset Fund (Equity/Gold Portion)	51
		UTI Nifty 50 ETF*	-
		UTI Nifty 50 Index Fund*	42
		UTI Nifty Bank ETF*	-
		UTI Nifty Mid cap 150 Quality 50 Index Fund*	46
		UTI Nifty Next 50 ETF*	-
		UTI Nifty Next 50 Index Fund*	43
		UTI Nifty200 Momentum 30 Index Fund*	44
		UTI S&P BSE Low Volatility Index Fund*	47
		UTI S&P BSE SENSEX ETF*	-
		UTI S&P BSE Sensex Index Fund*	45
		UTI S&P BSE Sensex Next 50 ETF*	-
		UTI Gold ETF Fund of Fund*	-
		UTI Nifty 500 Value 50 Index Fund*	-
		UTI Nifty 50 Equal Weight Index Fund*	-
		UTI S&P BSE Housing Index Fund*	-
11	Mr. Sudhir Agrawal	UTI Dynamic Bond Fund	80
		UTI Floater Fund	72
		UTI Gilt Fund	82
		UTI Short Term Income Fund	73
12	Mr. Sunil Madhukar Patil	UTI Children's Career Fund - Savings Plan (Debt Portion)	60
		UTI Equity Savings Fund (Debt Portion),	58
		UTI Fixed Income Interval Fund & Fixed Income Interval Fund Series II	-
		UTI Fixed Term Income Funds	-
		UTI Hybrid Equity Fund (Debt Portion)	49
		UTI Multi Asset Fund (Debt Portion)	51
		UTI Retirement Benefit Pension Fund (Debt Portion)	62
		UTI Unit Linked Insurance Plan (Debt Portion)	56
		UTI CRISIL SDL Maturity April 2033 Index Fund	84
		UTI CRISIL SDL Maturity June 2027 Index Fund	85
		UTI NIFTY SDL Plus AAA PSU Bond Apr 2026 75:25 Index Fund	87
		UTI NIFTY SDL Plus AAA PSU Bond Apr 2028 75:25 Index Fund	86
		UTI Bond Fund	81
		UTI Long Duration Fund	88
13	Mr. V Srivatsa	UTI Core Equity Fund	26
		UTI Equity Savings Fund (Equity Portfolio)	58
		UTI Hybrid Equity Fund (Along with Mr. Sunil Madhukar Patil)	49
		UTI Retirement Benefit Pension Fund (Equity Portion)	62
14	Mr. Vishal Chopda	UTI Children's Career Fund - Savings Plan (Equity Portion)	60
		UTI Children's Career Fund - Investment Plan	64
		UTI Focused Equity Fund	31
		UTI India Consumer Fund	37
		UTI Long Term Equity Fund (Tax Saving)	32
15	Mr Jaydeep Bhowal	UTI Gilt Fund with 10 year Constant Duration	83
		UTI Regular Savings Fund	54
16	Ms Preethi RS	UTI Banking & Financial Services Fund	39

*Assistant Fund Manager is Mr. Ayush Jain