

Shareholding Pattern of UTI Asset Management Company Limited as on 30th September, 2021

1. Name of Listed Entity: UTI Asset Management Company Limited
2. Scrip Code/Name of Scrip/Class of Security: UTIAMC
3. Share Holding Pattern Filed under: Regulation 31(1) (b)
4. Share Holding Pattern as on: 30th September, 2021
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:

S. No.	Particulars	Yes/No	Category
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Public shareholder
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential voting rights?	No	
7	Whether the Listed Entity has issued any Warrants?	No	
8	Whether the listed entity has any significant beneficial owner?	No	



Table I - Summary Statement holding of specified securities

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Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	No. of Voting Rights held in each class of securities (IX)				No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights			Total as a % of (A+B+C)					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: y	Total										
(A)	Promoter & Promoter Group	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(B)	Public	178656	126834520	-	-	126834520	100.00	126834520	-	126834520	100.00	-	-	-	100.00	86872417	68.49	-	-	126613195
(C)	Non Promoter-Non Public	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(C1)	Shares underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(C2)	Shares held by Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total		178656	126834520	-	-	126834520	100.00	126834520	-	126834520	100.00	-	-	-	100.00	86872417	68.49	-	-	126613195



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group - Nil

Sr. No.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights							Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg:y	Total										
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																			
(1)	Indian	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(a)	Individuals/Hindu undivided Family	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(b)	Central Government/ State Government(s)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(c)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d)	Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Sub-Total (A)(1)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(2)	Foreign	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(a)	Individuals (Non Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(b)	Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(c)	Institutions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d)	Foreign Portfolio Investor	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(e)	Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Sub-Total (A)(2)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	



Table III - Statement showing shareholding pattern of the Public shareholder

Sr. No.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of share underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights		Total as a % of Total Voting rights					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Classes eg:y										
(1)	Institutions																		
(a)	Mutual Funds	17	19073037	-	-	19073037	15.04	19073037	-	19073037	15.04	-	-	-	15.04	0	0.00	-	19073037
1	ICICI Prudential Mutual Fund	1	2768101	-	-	2768101	2.18	2768101	-	2768101	2.18	-	-	-	2.18	0	0.00	-	2768101
2	HDFC Mutual Fund	1	1392495	-	-	1392495	1.1	1392495	-	1392495	1.1	-	-	-	1.1	0	0.00	-	1392495
3	Invesco Mutual Fund	1	3402431	-	-	3402431	2.68	3402431	-	3402431	2.68	-	-	-	2.68	0	0.00	-	3402431
4	Nippon India Mutual Fund	1	1549312	-	-	1549312	1.22	1549312	-	1549312	1.22	-	-	-	1.22	0	0.00	-	1549312
5	Tata Mutual Fund	1	2947551	-	-	2947551	2.32	2947551	-	2947551	2.32	-	-	-	2.32	0	0.00	-	2947551
6	Mirae Asset Mutual Fund	1	4619666	-	-	4619666	3.64	4619666	-	4619666	3.64	-	-	-	3.64	0	0.00	-	4619666
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Alternate Investment Funds	4	1192832	-	-	1192832	0.94	1192832	-	1192832	0.94	-	-	-	0.94	0	0.00	-	1192832
(d)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Foreign Portfolio Investors	67	6009000	-	-	6009000	4.74	6009000	-	6009000	4.74	-	-	-	4.74	0	0.00	-	6009000
(f)	Financial Institutions/ Banks	3	44651485	-	-	44651485	35.2	44651485	-	44651485	35.2	-	-	-	35.2	44651485	100.00	-	44651485
1	Bank of Baroda	1	12665051	-	-	12665051	9.99	12665051	-	12665051	9.99	-	-	-	9.99	12665051	100.00	-	12665051
2	Punjab National Bank	1	19321383	-	-	19321383	15.23	19321383	-	19321383	15.23	-	-	-	15.23	19321383	100.00	-	19321383
3	State Bank of India	1	12665051	-	-	12665051	9.99	12665051	-	12665051	9.99	-	-	-	9.99	12665051	100.00	-	12665051



(g)	Insurance Companies	1	12665051	-	-	12665051	9.99	12665051	-	12665051	9.99	-	-	-	9.99	12665051	100.00	12665051
1	Life Insurance Corporation of India	1	12665051	-	-	12665051	9.99	12665051	-	12665051	9.99	-	-	-	9.99	12665051	100.00	12665051
(h)	Provident Funds/ Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(i)	Any Other (specify)	5	30709625	-	-	30709625	24.21	30709625	-	30709625	24.21	-	-	-	24.21	29161069	94.96	30709625
1	Qualified Institutional Buyer	4	1548556	-	-	1548556	1.22	1548556	-	1548556	1.22	-	-	-	1.22	0	0	1548556
2	Overseas Corporate Bodies	1	29161069	-	-	29161069	22.99	29161069	-	29161069	22.99	-	-	-	22.99	29161069	100.00	29161069
	T. Rowe Price International Ltd	1	29161069	-	-	29161069	22.99	29161069	-	29161069	22.99	-	-	-	22.99	29161069	100.00	29161069
Sub-Total (B)(1)		97	114301030	-	-	114301030	90.12	114301030	-	114301030	90.12	-	-	-	90.12	86477605	75.66	114301030
(2)	Central Government/ State Government(s)/ President of India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub-Total (B)(2)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(3)	Non-institutions																	
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	170645	9083665	-	-	9083665	7.16	9083665	-	9083665	7.16	-	-	-	7.16	319284	3.51	8905240
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	25	1436065	-	-	1436065	1.13	1436065	-	1436065	1.13	-	-	-	1.13	50000	3.48	1393165
(b)	NBFCs registered with RBI	1	119000	-	-	119000	0.09	119000	-	119000	0.09	-	-	-	0.09	0	0.00	119000
(c)	Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Overseas Depositories (holding DRs) (balancing figure)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Any Other (specify)	7888	1894760	-	-	1894760	1.49	1894760	-	1894760	1.49	-	-	-	1.49	25528	1.35	1894760
	Clearing Members	92	117582	-	-	117582	0.09	117582	-	117582	0.09	-	-	-	0.09	0	0.00	117582
	Non-Resident Indian (NRI)	2085	478350	-	-	478350	0.38	478350	-	478350	0.38	-	-	-	0.38	9535	1.99	478350



Trusts	3	14815	-	-	14815	0.01	14815	-	14815	0.01	-	-	-	0.01	0	0.00		14815
Bodies Corporate	334	971406	-	-	971406	0.77	971406	-	971406	0.77	-	-	-	0.77	6902	0.71		971406
Foreign Nationals	1	27	-	-	27	0.00	27	-	27	0.00	-	-	-	0.00	0	0.00		27
HUF	5373	312580	-	-	312580	0.25	312580	-	312580	0.25	-	-	-	0.25	9091	2.91		312580
Sub-Total (B)(3)	178559	12533490	-	-	12533490	9.88	12533490	-	12533490	9.88	-	-	-	9.88	394812	3.15		12312165
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	178656	126834520	-	-	126834520	100.00	126834520	-	126834520	100.00	-	-	-	100.00	86872417	68.49		126613195



Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Sr. No.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting (XIV) Rights							Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg:y	Total										
(1)	Custodian/DR Holder - Name of DR Holders (If Available)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Total Non-Promoter – Non-Public Shareholding (C)= (C)(1)+(C)(2)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Total (A+B+C2)		178656	126834520	-	-	126834520	100.00	126834520	-	126834520	100.00	-	-	-	100.00	86872417	68.49	-	126613195	
Total (A+B+C)		178656	126834520	-	-	126834520	100.00	126834520	-	126834520	100.00	-	-	-	100.00	86872417	68.49	-	126613195	



Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO) - Nil

Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Details of the shareholders acting as persons in Concert for Public - Nil

Serial No.	Name of Shareholder	Name of the PAC	Number of shares	Percentage of shareholding by PAC

Details of Shares which remain unclaimed for Public - Nil

Serial No.	Number of shareholders	Outstanding shares held in demat or unclaimed suspense account	voting rights which are frozen	Disclosure of notes on shares which remain unclaimed for public shareholders
1	-	-	-	-

