

Ref: UTI/AMC/CS/SE/2021-22/0144

Date: 25th November, 2021

National Stock Exchange of India Limited

Exchange Plaza Plot No. C/1
G Block Bandra Kurla Complex
Bandra (East) Mumbai – 400 051
Scrip Symbol: UTIAMC

BSE Limited

Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai – 400 001
Scrip Code/Symbol: 543238/UTIAMC

Sub: Disclosure under Regulation 23 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir / Madam,

Pursuant to Regulation 23 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the disclosure of Related Party Transactions, on both consolidated and standalone basis, for the half year ended 30th September, 2021.

The same has been uploaded on the website of the Company at www.utimf.com.

We request you to kindly take the aforementioned details on record and disseminate the same on your respective websites.

Thanking you,

For **UTI Asset Management Company Limited**

Arvind Patkar
Company Secretary and Compliance Officer



Encl.: As above

Disclosure of Related Party Transactions on consolidated basis pursuant to Regulation 23 (9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015

A. List of related parties of the Company with whom there have been transactions during the period ended 30th September, 2021 are as follows:

I. Subsidiaries:

- a. UTI International Limited, Guernsey (100%)
- b. UTI Retirement Solutions Limited, India (100%)
- c. UTI Capital Private Limited, India (100%)
- d. UTI Venture Funds Management Company Private Limited (100%)
- e. India Infrastructure Development Fund (25.87%)****

II. Stepdown subsidiaries:

- a. UTI International (Singapore) Private Limited (100% subsidiary of UTI International Limited, Guernsey)
- b. UTI Investment Management Company (Mauritius) Limited (100% subsidiary of UTI International Limited, Guernsey)
- c. UTI Private Equity Limited (100% subsidiary of UTI Venture Funds Management Company Private Limited)

III. Key Management Personnel (KMP):

- a. Mr. Dinesh Kumar Mehrotra (Non-Executive Chairman and Independent Director)
- b. Mr. Edward Cage Bernard (Nominee Director)**
- c. Mr. Flemming Madsen (Non - Executive Director)
- d. Mr. Narasimhan Seshadri (Independent Director)
- e. Mr. Deepak Kumar Chatterjee (Independent Director)*
- f. Mr. Rajeev Kakar (Independent Director)
- g. Ms. Dipali Hemant Sheth (Independent Director)
- h. Ms. Jayashree Vaidhyanathan (Independent Director)
- i. Mr. Sanjay Varshneya (Nominee Director)***
- j. Mr. Imtaiyazur Rahman (CEO & Whole Time Director)
- k. Mr. Praveen Jagwani (CEO of UTI International Ltd.)
- l. Mr. Christopher M W Hill (Non – Executive Director of UTI International Ltd.)
- m. Mr. Surojit Saha (CFO)
- n. Mr. Arvind Patkar (CS)



IV. Shareholder: T Rowe Price International Ltd (22.99%)

V. Other Related Parties:

- a. UTI AMC Ltd Employees Provident Fund
- b. UTI AMC Ltd Pension Fund

*Mr. Deepak Kumar Chatterjee was re-appointed as an Independent Director at the 18th Annual General Meeting of the Company for another term of five years from 29th July, 2021 to 28th July, 2026, not liable to retire by rotation.

**Mr. Edward Cage Bernard retired by rotation at the 18th Annual General Meeting of the Company and the shareholders had approved his appointment as a Nominee Director (Non-Executive Category) of the Company with effect from 29th July, 2021, liable to retire by rotation.

***Mr. Sanjay Varshneya was appointed as a Nominee Director (Non-Executive Category) at the 18th Annual General Meeting of the Company with effect from 29th July, 2021, liable to retire by rotation.

****The above-mentioned fund have been consolidated as per the requirement of IND AS 110. An investor controls an investee when it is exposed, or has rights, to variable returns from its involvement with the investee and has the ability to affect those returns through its power over the investee.

B. Related Parties Transaction entered during the half year ended 30th September, 2021:

(Rs. In Cröre)

Sr. No.	Name of Related Party	Nature of Transactions	Period Ended September 2021	
			Transactions for the period	Outstanding at the period end
1.	T Rowe Price International Ltd	Dividend Paid	44.62	-
2.	UTI AMC Ltd Employees Provident Fund	Contribution to the fund	11.66	-
3.	UTI AMC Ltd Pension Fund	Contribution to the fund	2.16	-

*All transactions with these related parties are priced on an arm's length basis and resulting outstanding balances are to be settled in cash within six months of the reporting date. None of the balances is secured.



C. Details of remuneration & Dividend paid to KMPs:

(Rs. In Crore)

Sr. No.	Nature of Transactions	Transactions for the period ended September, 2021
1.	Short term employee benefits	10.60
2.	Post employee benefits	0.11
3.	Share Based Payments	1.22
4.	Director Sitting Fees	0.96
5.	Dividend on Equity Shares	0.01

For UTI Asset Management Company Limited


Surojit Saha
Chief Finance Officer



Date: 25th November, 2021

Disclosure of Related Party Transactions on standalone basis pursuant to Regulation 23(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015

A. List of related parties of the company with whom there have been transactions during the period ended 30th September, 2021 are as follows:

I. Subsidiaries:

- a. UTI International Limited, Guernsey. (100%)
- b. UTI Retirement Solutions Limited, India (100%)
- c. UTI Capital Private Limited, India (100%)
- d. UTI Venture Funds Management Company Private Limited (100%)
- e. India Infrastructure Development Fund (25.87%)****

II. Stepdown subsidiaries:

- a. UTI International (Singapore) Private Limited (100% subsidiary of UTI International Limited, Guernsey)
- b. UTI Investment Management Company (Mauritius) Limited (100% subsidiary of UTI International Limited, Guernsey)
- c. UTI Private Equity Limited (100% subsidiary of UTI Venture Funds Management Company (P) Limited)

III. Key Management Personnel (KMP) of the Company:

- a. Mr. Dinesh Kumar Mehrotra (Non-Executive Chairman and Independent Director)
- b. Mr. Edward Cage Bernard (Nominee Director)**
- c. Mr. Flemming Madsen (Non - Executive Director)
- d. Mr. Narasimhan Seshadri (Independent Director)
- e. Mr. Deepak Kumar Chatterjee (Independent Director)*
- f. Mr Rajeev Kakar (Independent Director)
- g. Ms. Dipali Hemant Sheth (Independent Director)
- h. Ms. Jayashree Vaidhyanathan (Independent Director)
- i. Mr. Sanjay Varshneya (Nominee Director)***
- j. Mr. Imtaiyazur Rahman (Executive Director, CEO)
- k. Mr. Surojit Saha (CFO)
- l. Mr. Arvind Patkar (CS)

IV. Shareholder: T Rowe Price International Ltd (22.99%)



V. Other Related Parties:

- a. UTI AMC Ltd Employees Provident Fund
- b. UTI AMC Ltd Pension Fund

*Mr. Deepak Kumar Chatterjee was re-appointed as an Independent Director at the 18th Annual General Meeting of the Company for another term of five years from 29th July, 2021 to 28th July, 2026, not liable to retire by rotation.

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B. Related Parties Transactions entered by the Company during the half year ended 30th September, 2021:

(Rs. In Crore)

Sr. No.	Name of Related Party	Nature of Transactions	Period Ended September 2021	
			Transactions for the period	Outstanding at the period end
1.	UTI International (Singapore) Private Limited	Business Support Service Fees (Expense)	3.58	1.93
		PMS Fees (Income)	4.83	2.67
2.	UTI Investment Management Company (Mauritius) Limited	PMS Fees (Income)	1.17	0.22
3.	UTI Retirement Solutions Limited	Rent Income	0.23	-
		Reimbursement received towards employee benefit expenses & administrative Expenses	1.83	-
4.	UTI Capital Private Limited	Interest Income	0.12	-
		Loan repaid	-	3.00



5.	T Rowe Price International Ltd	Dividend Paid	44.62	-
6.	UTI AMC Ltd Employees Provident Fund	Contribution to the fund	11.66	-
7.	UTI AMC Ltd Pension Fund	Contribution to the fund	2.16	-

*All transactions with these related parties are priced on an arm's length basis and resulting outstanding balances are to be settled in cash within six months of the reporting date. None of the balances is secured.

C. Details of remuneration & Dividend paid to KMPs:

(Rs. In Crore)

Sr. No.	Nature of Transactions	Transactions for the period ended September, 2021
1.	Short term employee benefits	7.11
2.	Post employee benefits	0.08
3.	Share Based Payments	1.00
4.	Director Sitting Fees	0.90
5.	Dividend on Equity Shares	0.01

For UTI Asset Management Company Limited


Surojit Saha
Chief Finance Officer



Date: 25th November, 2021