

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U65991MH2002PLC137867

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACU6260F

(ii) (a) Name of the company

UTI ASSET MANAGEMENT COM

(b) Registered office address

UTI TOWER GN BLOCK BANDRA KURLA COMPLEX
BANDRA(E)
MUMBAI
Maharashtra
400051

(c) *e-mail ID of the company

cs@uti.co.in

(d) *Telephone number with STD code

02266786666

(e) Website

WWW.UTIMF.COM

(iii) Date of Incorporation

14/11/2002

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes ☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☐ Yes ☒ No

(b) CIN of the Registrar and Transfer Agent

U72400TG2017PTC117649

Pre-fill

Name of the Registrar and Transfer Agent

KFIN TECHNOLOGIES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

Selenium, Tower B, Plot No- 31 & 32,
Financial District, Nanakramguda, Serilingampally

(vii) *Financial year From date 01/04/2019 (DD/MM/YYYY) To date 31/03/2020 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM 27/11/2020

(b) Due date of AGM 31/12/2020

(c) Whether any extension for AGM granted ☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K6	Fund Management Services	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 7

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	UTI VENTURE FUNDS MANAGE	U65991MH2001PTC350211	Subsidiary	100
2	UTI RETIREMENT SOLUTIONS L	U66020MH2007GOI176785	Subsidiary	100
3	UTI CAPITAL PRIVATE LIMITED	U65900MH2011PTC217430	Subsidiary	100
4	UTI INTERNATIONAL LTD., GUE		Subsidiary	100
5	UTI PRIVATE EQUITY LTD.		Subsidiary	100
6	UTI INTERNATIONAL (SINGAPO		Subsidiary	100
7	UTI INVESTMENT MANAGEMEN		Subsidiary	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	200,000,000	126,787,254	126,787,254	126,787,254
Total amount of equity shares (in Rupees)	2,000,000,000	1,267,872,540	1,267,872,540	1,267,872,540

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	200,000,000	126,787,254	126,787,254	126,787,254
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	2,000,000,000	1,267,872,540	1,267,872,540	1,267,872,540

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				

At the beginning of the year	126,787,254	1,267,872,540	1,267,872,540	
Increase during the year	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify	0	0	0	
0				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify	0	0	0	
0				
At the end of the year	126,787,254	1,267,872,540	1,267,872,540	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify	0	0	0	
0				
Decrease during the year	0	0	0	0

i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify	0	0	0	
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☒ Nil

[Details being provided in a CD/Digital Media]

☐ Yes

☐ No

☐ Not Applicable

Separate sheet attached for details of transfers

☐ Yes

☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting			
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)**(i) Turnover**

88,996,451,635.02

(ii) Net worth of the Company

277,230,441,531.43

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	0	0	0	0

Total number of shareholders (promoters)

0

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,304,191	1.03	0	
	(ii) Non-resident Indian (NRI)	8,485	0.01	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	23,125,000	18.24	0	
4.	Banks	69,375,000	54.72	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	32,974,578	26.01	0	
10.	Others 0	0	0	0	
	Total	126,787,254	100.01	0	0

Total number of shareholders (other than promoters)

1,709

**Total number of shareholders (Promoters+Public/
Other than promoters)**

1,709

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	0	0
Members (other than promoters)	1,292	1,709
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	0	0	0	0	0	0
B. Non-Promoter	0	6	1	10	0	0
(i) Non-Independent	0	2	1	2	0	0
(ii) Independent	0	4	0	8	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	0	6	1	10	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 14

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Dinesh Kumar Mehrotra	00142711	Director	0	
Imtaiyazur Rahman	01818725	Whole-time director	0	
Ashok Shah	01194846	Director	0	28/11/2020
Deepak Kumar Chatterjee	03379600	Director	0	
Dipali H Sheth	07556685	Director	0	
Edward Cage Bernard	08243277	Director	0	
Flemming Madsen	02904543	Director	0	
Jayashree Vaidhyanathan	07140297	Director	0	
Narasimhan Seshadri	03486485	Director	0	
Rajeev Kakar	01888608	Director	0	
Uttara Dasgupta	06570950	Director	0	28/11/2020
Imtaiyazur Rahman	AFDPR0276D	CEO	0	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Surojit Saha	AAFPS1795P	CFO	3,000	
Arvind Patkar	AKLPR0892G	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

15

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Imtaiyazur Rahman	01818725	Whole-time directo	28/04/2019	Appointment
Arvind Patkar	AKLPR0892G	Company Secretar	16/12/2019	Appointment
Imtaiyazur Rahman	01818725	Whole-time directo	22/08/2019	Change in designation
Ashok Shah	01194846	Additional director	07/05/2019	Appointment
Ashok Shah	01194846	Director	22/08/2019	Change in designation
Dipali H Sheth	07556685	Additional director	20/11/2019	Appointment
Dipali H Sheth	07556685	Director	16/12/2019	Change in designation
Edward Cage Bernard	08243277	Director	22/08/2019	Change in designation
Jayashree Vaidhyanath	07140297	Additional director	20/11/2019	Appointment
Jayashree Vaidhyanath	07140297	Director	16/12/2019	Change in designation
Rajeev Kakar	01888608	Additional director	20/11/2019	Appointment
Rajeev Kakar	01888608	Director	16/12/2019	Change in designation
Imtaiyazur Rahman	AFDPR0276D	CFO	16/12/2019	Cessation
Surojit Saha	AAFPS1795P	CFO	16/12/2019	Appointment
KIRAN NARENDRA VO	AAAPV8125F	Company Secretar	11/12/2019	Cessation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

2

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
AGM	22/08/2019	1,311	68	99.04
EOGM	16/12/2019	1,361	93	99.15

B. BOARD MEETINGS

*Number of meetings held

9

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	26/04/2019	7	5	71.43
2	27/04/2019	7	5	71.43
3	22/08/2019	8	7	87.5
4	23/10/2019	8	8	100
5	13/11/2019	8	8	100
6	20/11/2019	8	8	100
7	20/11/2019	8	7	87.5
8	16/12/2019	11	10	90.91
9	30/01/2020	11	10	90.91

C. COMMITTEE MEETINGS

Number of meetings held

17

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	27/04/2019	4	4	100
2	AUDIT COMM	21/08/2019	4	4	100
3	AUDIT COMM	22/10/2019	4	4	100
4	AUDIT COMM	16/12/2019	4	4	100
5	AUDIT COMM	29/01/2020	5	5	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
6	NOMINATION	27/04/2019	4	4	100
7	NOMINATION	07/06/2019	4	4	100
8	NOMINATION	21/08/2019	4	3	75
9	NOMINATION	22/10/2019	4	4	100
10	NOMINATION	13/11/2019	4	4	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	27/11/2020
								(Y/N/NA)
1	Dinesh Kumar	9	8	88.89	15	15	100	Yes
2	Imtaiyazur Ral	9	8	88.89	0	0	0	Yes
3	Ashok Shah	7	7	100	1	1	100	No
4	Deepak Kuma	9	9	100	7	7	100	Yes
5	Dipali H Sheth	2	2	100	1	1	100	Yes
6	Edward Cage	9	7	77.78	10	10	100	No
7	Flemming Mac	9	9	100	7	7	100	No
8	Jayashree Vai	2	2	100	1	1	100	Yes
9	Narasimhan S	9	9	100	16	16	100	Yes
10	Rajeev Kakar	2	1	50	1	1	100	Yes
11	Uttara Dasgup	9	6	66.67	10	9	90	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Imtaiyazur Rahman	Whole-time Dire	45,406,372	0	8,629,033	0	54,035,405
	Total		45,406,372	0	8,629,033	0	54,035,405

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Imtaiyazur Rahman	CEO	45,406,372	0	8,629,033	0	54,035,405
2	Surojit Saha	CFO	2,123,086	0	882,282	0	3,005,368
3	Arvind Patkar	Company Secre	662,038	0	83,628	0	745,666
	Total		48,191,496	0	9,594,943	0	57,786,439

Number of other directors whose remuneration details to be entered

8

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Dinesh Kumar Mehra	Director	0	0	0	1,620,000	1,620,000
2	Ashok Shah	Director	0	0	0	700,000	700,000
3	Deepak Kumar Chandra	Director	0	0	0	1,480,000	1,480,000
4	Dipali Hemant Shetty	Director	0	0	0	250,000	250,000
5	Jayashree Vaidhyar	Director	0	0	0	250,000	250,000
6	Narasimhan Seshadri	Director	0	0	0	1,840,000	1,840,000
7	Rajeev Kakar	Director	0	0	0	150,000	150,000
8	Uttara Dasgupta	Director	0	0	0	960,000	960,000
	Total		0	0	0	7,250,000	7,250,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Vishal N Manseta

Whether associate or fellow

☒ Associate ☐ Fellow

Certificate of practice number

8981

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...

17(a)

dated

11/10/2006

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

IMTAIYAZU
R RAHMAN

DIN of the director

01818725

To be digitally signed by

Arvind
Bhagwan
Das Patkar

- ☒ Company Secretary
☐ Company secretary in practice

Membership number 21577

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachement(s), if any

Attach

Attach

Attach

Attach

List of attachments

List of Shareholders_310320.pdf
MGT-8_UTI_AMC.pdf
MCA Approval Letter.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company



VISHAL N. MANSETA (B.Com, A. C. S.)

Practicing Company Secretary

Off. No. 12, B Wing, Smital Avenue, Hatkesh Udyog Nagar Road, Near JP North,
Mira Road (East), Thane - 401107. Mob. : +91 99870 66314, +91 93217 99780

Web : www.vishalmanseta.com Email : vishal_manseta@rediffmail.com / vishal@vishalmanseta.com

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and Rule 11(2) of Companies
(Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of **UTI ASSET MANAGEMENT COMPANY LIMITED** (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the Rules made thereunder for the financial year ended on 31st March 2020. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the Company, its officers and agents, I certify that:

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year, the Company has complied with provisions of the Act & Rules made there under in respect of:
 1. The paid-up share capital of Company is in excess of Rs. 5 Crores; the Company has appointed whole time Company Secretary.
 2. The Company has maintained proper records and registers and all the necessary entries required to be made have been entered;
 3. The Company has filed various forms and returns, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities. The details of forms and returns filed with Registrar of Companies is attached herewith at **Annexure-A**;
 4. The Board of Directors meetings were held after giving due notice to the directors and the meetings of the members of the Company was held before due dates as stated in the annual return in respect of which, proper notices were given and the proceedings including the circular resolutions have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
 5. The register of the members was closed from Saturday, November 21, 2020 to Friday, November 27, 2020 (both days inclusive);
 6. There were no advance/loans to its directors and/or persons or firms or companies referred in section 185 of the Act.




7. The details of contracts/arrangements with related parties specified in section 188 of the Act are as per the provisions of the Act and necessary approval was obtained from directors.
8. During the period under review, no transfer of equity shares (physical) had occurred.
9. There were no instance of keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act;
10. The dividend was declared but no amount was required to be transferred to the Investor Education and Protection Fund in accordance with section 125 of the Act;
11. Audited financial statements and Directors report are as per the provisions of section 134 of the Act and report of directors is as per sub-sections (3), (4) and (5) thereof;
12. The Board of the Company is duly constituted and during the year five directors were appointed and no cessation took place;
13. G. D. Apte & Co. were appointed as Statutory auditors of the Company by the Comptroller and Auditor General of India (CAG) for F.Y. 2019-20;
14. The requisite approvals to be taken, whenever required, from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act has been taken;
15. The Company did not accept any deposits during the year;
16. There were no borrowings from its directors, members, or banks and others. There was no creation/ modification/ satisfaction of charges during the financial year under review;
17. There were no loans or guarantees given or investment made or securities provided to other bodies corporate or persons falling under the provisions of section 186 of the Act; and



18. During the year under review, there was no alterations in the Memorandum of Association of the Company. The shareholders at the Extra-Ordinary General Meeting of the Company held on 16th December, 2019 adopted the new set of Articles of Association of the Company in accordance with the provisions of Section 14 of the Act.

For Vishal N. Manseta
Practicing Company Secretary


(Vishal N. Manseta)

C.P. No : 8981

ACS No. : 25183

Date : January 25, 2021

Place : Mumbai

UDIN : A025183B002220310



ANNEXURE-A

Forms and Returns as filed by the Company with the Registrar of Companies, Regional Director, Central Government or other authorities during the financial year ended 31st March, 2020.

Form Name	Purpose	Date
DIR – 12	Appointment of Mr. Imtaiyazur Rahman and Mr. Ashok Shah as Additional Directors	13-05-2019
MR – 1	Return of appointment of Mr. Imtaiyazur Rahman as Whole Time Director	29-05-2019
INC – 22A	Active Company Compliance	14-06-2019
MGT – 14	Approval of Accounts for the year ended 31st March, 2019	25-06-2019
DPT-3	Form filed for acceptance of deposit – Annual	26-06-2019
DPT-3	Form filed for acceptance of deposit – Onetime	26-06-2019
DIR – 12	Continuation of Mr. Imtaiyazur Rahman as Acting Chief Executive Officer	13-09-2019
DIR – 12	Appointment of Mr. Imtaiyazur Rahman, Mr. Ashok Shah and Mr. Edward Cage Bernard as Directors	13-09-2019
AOC-4	Filing financial statement for the year ended 31 st March, 2019 in XBRL mode	23-09-2019
ADT – 1	Appointment of M/s G D Apte as Statutory Auditor	05-11-2019
MGT – 14	Appointment of Mr. Imtaiyazur Rahman as Whole Time Director	26-11-2019
MR-1	Return of appointment of Mr. Imtaiyazur Rahman as Whole Time Director	27-11-2019
MGT – 7	Annual Return for year ended 31 st March, 2019	10-12-2019
DIR – 12	Appointment of Mrs. Dipali Sheth, Mrs. Jayashree Vaidhyanathan and Mr. Rajeev Kakar as Additional Directors	17-12-2019
DIR – 12	Appointment of Mrs. Dipali Sheth, Mrs. Jayashree Vaidhyanathan and Mr. Rajeev Kakar as Directors	17-12-2019
DIR – 12	Cessation of Mr. Imtaiyazur Rahman and Mr. Kiran Vohra as Chief Financial Officer and Company Secretary respectively. Appointment of Mr. Surojit Saha and Mr. Arvind Patkar as Chief Financial Officer and Company Secretary respectively.	17-12-2019
MGT – 14	Appointment of Mr. Surojit Saha and Mr. Arvind Patkar as Chief Financial Officer and Company Secretary respectively.	17-12-2019
MGT – 14	Approval for adoption of Articles of Association and Amendments to the Employee Stock Option Scheme	17-12-2019
MGT – 14	Approval for adoption of Articles of Association	15-01-2020
MGT – 14	Extension of appointment of Mr. Imtaiyazur Rahman as Acting Chief Executive Officer	27-02-2020



MGT – 14	Extension of appointment of Mr. Imtaiyazur Rahman as Acting Chief Executive Officer	04-03-2020
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For Vishal N. Manseta
Practicing Company Secretary



(Vishal N. Manseta)

C.P. No : 8981

ACS No. : 25183

Date : January 25, 2021

Place : Mumbai

UDIN : A025183B002220310

