

Ref. No.: UTI/AMC/CS/SE/2023-24/0296

Date: 8th April, 2023

National Stock Exchange of India Limited

Exchange Plaza Plot No. C/1

G Block Bandra – Kurla Complex

Bandra (East) Mumbai – 400 051.

Scrip Symbol: UTIAMC

BSE Limited

Phiroze Jeejeebhoy Towers

Dalal Street

Mumbai – 400 001.

Scrip Code / Symbol: 543238 / UTIAMC

Sub: Compliance Certificate pursuant to Regulation 7 (3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir / Madam,

Pursuant to Regulation 7 (3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (Listing Regulations), we are forwarding herewith the Compliance Certificate duly signed by the Compliance Officer of UTI Asset Management Company Limited and the Authorized Representative of our Registrar and Share Transfer Agent i.e. M/s. KFin Technologies Limited (*formerly known as 'KFin Technologies Private Limited'*) certifying compliance with the requirements of Regulation 7 (2) of Listing Regulations, for the financial year ended 31st March, 2023.

We request you to kindly take the aforesaid information on record and disseminate the same on your website.

Thanking you,

For UTI Asset Management Company Limited

Arvind Patkar

Company Secretary and Compliance Officer

Encl.: As above

UAM/SD/SE/000/2022-23/YLY

Apr 06, 2023

**The Manager-Listing Department
National Stock Exchange of India Limited**
Exchange Plaza,
Bandra Kurla Complex, Bandra (East)
Mumbai – 400 051.
Tel No.: 022 – 2659 8237/ 38
NSE - Symbol – UTIAMC

**The Manager-Listing Department
BSE Limited**
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai – 400 001.
Tel No.: 022 – 2272 2039/ 37/3121
BSE- Scrip Code: 543238

Dear Sir,

Sub : Compliance Certificate for the Year Ended – Mar 31, 2023
Ref : Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

This is to certify that UTI Asset Management Limited ('Company') has appointed M/s KFin Technologies Limited ('Registrar'), having its registered office at Selenium Tower- B, Plot No.31 & 32, Gachibowli, Financial District , Nanakramguda, Serilingampally, Hyderabad-500 032 Telangana, as its Registrar and Share Transfer Agent, and the activities in relation to both Physical and Electronic Share Transfer facility of the Company are maintained by the Registrar. M/s KFin Technologies Limited which is registered as Registrar & Share Transfer Agent in Category-I with the Securities and Exchange Board of India vide Registration Number: INR000000221.

Accordingly, the compliance in respect of Regulation 7(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 is ensured.

Thanking you,

Yours faithfully,

For UTI Asset Management Company Limited

For KFin Technologies Limited

Arvind Patkar
Company Secretary and Compliance Officer



Rajitha Cholleti
Dy. Vice President