

**Ref. No.:** UTI/AMC/CS/SE/2022-23/0183

**Date:** 13<sup>th</sup> April, 2022

**National Stock Exchange of India Limited**

Exchange Plaza Plot No. C/1

G Block Bandra - Kurla Complex

Bandra (East) Mumbai – 400 051.

**Scrip Symbol:** UTIAMC

**BSE Limited**

Phiroze Jeejeebhoy Towers

Dalal Street

Mumbai – 400 001.

**Scrip Code/Symbol:** 543238/UTIAMC

**Sub: Certificate under Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sir / Madam,

Pursuant to Regulation 40 (9) & (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are forwarding herewith the Compliance Certificate issued by a Practicing Company Secretary for the financial year ended 31<sup>st</sup> March, 2022.

We request you to kindly take the aforesaid information on record and disseminate the same on your respective websites.

Thanking you,

For **UTI Asset Management Company Limited**



Arvind Patkar

**Company Secretary and Compliance Officer**



**Encl.:** As above



**VISHAL N. MANSETA** (B.Com, A. C. S.)

Practicing Company Secretary

Off. No. 12, B Wing, Smital Avenue, Hatkesh Udyog Nagar Road, Near JP North,  
Mira Road (East), Thane - 401107. Mob. : +91 99870 66314, +91 93217 99780

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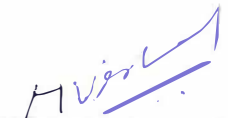
**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING  
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR  
THE YEAR ENDED MARCH 31, 2022**

I have examined the relevant books, registers, files and other documents of **UTI Asset Management Company Limited (the 'Company')** maintained by its Registrar and Share Transfer Agent (RTA) i.e. **KFin Technologies Limited (formerly known as 'KFin Technologies Private Limited')** for the purpose of issuing the Certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. Based on the information provided by the RTA and the Company, I hereby certify that:

- A) No physical Share Certificate along with the Share Transfer form, was lodged during the period from **April 01, 2021 to March 31, 2022;**
- B) There was no case of sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies; and
- C) Any other information if applicable - NIL.

For Vishal N. Manseta  
**PRACTISING COMPANY SECRETARY**



  
**(Vishal Manseta)**  
**M. No.: 25183**  
**C.P. No.: 8981**  
**PRC :1584/2021**

**Place:** Mumbai

**Date:** April 12, 2022

**UDIN:** A025183D000085804